

Food Safety System Certification 22000

Case study 2

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Content

What's new in FSSC 22000 version 4:

- Unannounced audits
- Food fraud prevention & food defense
- Auditor competence
- Follow-up on audits
- Time interval between audits
- Computer Aided Audit Techniques (CAAT)
- Mandatory audit report template
- Outsourced activities

Focus during this case study session

- Unannounced audits
- Auditor competence
- Computer aided audit techniques
- Outsourced activities

Unannounced audits

Unannounced audits

Basic principle:

The FSSC 22000 certified company is expected to be “audit ready” at all times

Unannounced audits

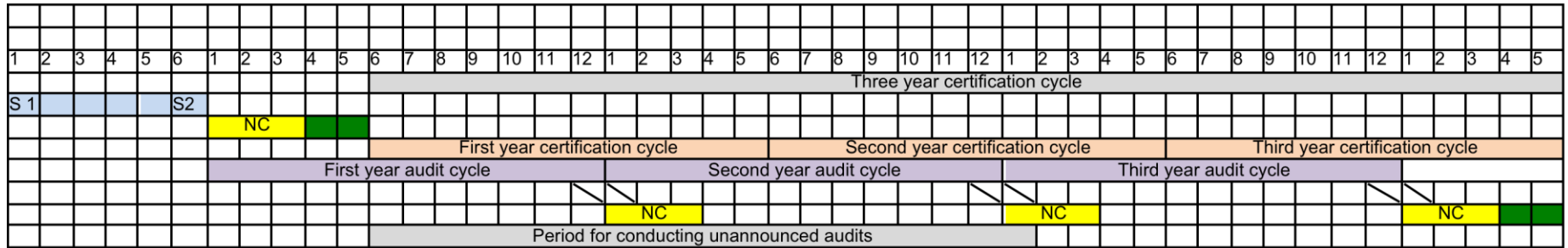
- One of the two surveillance audits is replaced by a **mandatory** unannounced audit (UA)
- The date of the UA shall be determined by the CB
- Can be conducted at any moment during the first and second year of the certification cycle
- The audit is fully unannounced. The site and HO are not notified in advance

Unannounced audits

- Unannounced audits shall not be conducted on the initial certification audit or the re-certification audit
- Head offices are exempted from unannounced audits
- A black-out period shall be defined to prevent the audit from occurring out of season or when the site is not operating

Time interval between audits

Proposed FSSC 22000 audit cycle and certification cycle



Unannounced audits

Operational

- The auditor shall arrive on the work floor within one hour after arrival on site
- The site is not informed about the composition of the audit team
- The auditor shall spend at least 50% of the time in production assessing the implementation of the applicable PRPs

Unannounced audits

In case of non-compliance

- If the certified organization refuses to participate in the UA, the certificate will be immediately suspended - for a maximum period of six months
- If the certified organization is not in operation, the certificate will be immediately suspended - for a maximum period of six months
- The CB shall withdraw the certificate if the UA is not conducted within the six-month timeframe

Auditor competence

Auditor competence

- All auditors shall be registered in the FSSC 22000 database
- Perform 5 on-site GFSI audits at different organizations each year, of which at least 3 are FSSC 22000 audits
- Auditor may complete 1 audit cycle with the same client. Exceptions are not allowed
- GFSI exam expected in 2017

Derogation auditor competence

The FSSC 22000 Secretariat can grant a derogation for auditor competence

- Long term sickness of an auditor
- Maternity/paternity leave of an auditor
- Sabbatical leave of an auditor

Audit team

- All auditors in team are FSSC 22000 qualified
- The team leader shall be qualified for the food chain categories supporting the audit scope
- The audit team may be supported by a Technical Expert
- The audit team may be supported by an interpreter

FSSC 22000 prefers that the auditors conducting the audit are native speakers

Audit team support

Interpreters and Technical Experts

- Support the audit team
- Excluded from the on-site audit time
- Extra on-site audit time to coordinate with the audit team

Auditor competence

Emerging markets

- Derogation can be granted by the FSSC 22000 secretariat on request of the CB
- Auditor has to conduct additional ISO 22000 and/or HACCP audits
- Auditor has to attend additional FSSC 22000 training to maintain scheme knowledge

Auditor competence

All auditors are tested through GFSI specified exams

- Availability of FSSC 22000 training to prepare auditors for the exam
- Availability of FSSC 22000 training for qualified auditors to maintain FSSC 22000 scheme knowledge

Computer aided audit techniques

Computer Aided Audit Techniques

- The on-site audit can include the use of computer aided audit techniques (CAAT)
- Use of CAAT to access information or to interview responsible persons only
- CB shall have a process to justify the use of CAAT during on-site audits

Outsourced activities

Outsourced activities

Outsourced production activities shall be allowed under the following conditions:

- The FSMS of the secondary manufacturing site is certified against a GFSI recognized food certification scheme
- The product is returned to the primary FSSC 22000 certified organization for final handling
- Control of such outsourced processes shall be identified and documented within the FSMS of the primary FSSC 22000 certified organization
- The outsourced activity is shown in the scope statement specifying the activity and the GFSI recognized certificate of the outsourced activity

Publication

- Publication expected in line with GFSI GD 7
- Planned release in Q4 2016
- Transition term to be determined

Interactive discussions

- Auditor competence
- Unannounced audits
- CAAT
- Outsourced activities

Discuss if proposed requirements are:

- Clearly described
- Feasible and/or auditable

Let's connect!

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